

Efficacy of end-effector robot-assisted gait training in subacute stroke patients: Clinical and gait outcomes from a pilot bi-centre study

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Abstract.

BACKGROUND: End-effector robots allow intensive gait training in stroke subjects and promote a successful rehabilitation. A comparison between conventional and end-effector Robot-Assisted Gait Training (RAGT) in subacute stroke patients is needed.

OBJECTIVE: To investigate the efficacy of end-effector RAGT in subacute stroke patients.

METHODS: Twenty-six subacute stroke patients were divided into two groups: 14 patients performed RAGT (RG); 12 patients performed conventional gait training (CG). Clinical assessment and gait analysis were performed at the beginning (T0) and at the end (T1) of the rehabilitation.

RESULTS: The RG revealed a significant improvement in body function, activities, participation scales, and in the distance measured with the 6 MWT. The affected lower limb's spasticity significantly decreased at T1. In gait analysis, RG showed significant increases in many parameters. The CG significantly improved clinical assessments but showed no significant changes in gait parameters. Statistically significant differences between RG and CG were found in MRC-HE, TCT, 10 MWT, 6 MWT, and TUG. No significant difference between groups was registered in gait kinematics.

CONCLUSIONS: Both rehabilitation treatments produce promising effects in subacute stroke patients. RAGT device offers a more intensive, controlled, and physiological gait training and significantly improved deambulation.

Keywords: Stroke, robot-assisted gait training, end-effector device, neurologic gait disorders, rehabilitation

1. Introduction

Stroke is not only the third cause of death after cardiovascular disease and cancer, but also the first cause of disability in the world with a significant impact

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on individuals, their families and finances (Palmieri et al., 2007). Post-stroke disability involves mobility and balance, muscle strength, control of movement, and gait pattern functions (Swinnen et al., 2007). Although the majority of stroke patients learns to walk independently by 6 months after stroke, gait and balance problems persist through the chronic stage and may have a significant impact on patients' quality of life (Eng & Tang, 2014). Accordingly, the restoration and improvement of walking functions is a primary concern to obtain independence in daily life. For this reason, gait recovery is a realist goal in the rehabilitation of almost all patients with stroke (Langhorne et al., 2009; Pournajaf et al., 2018). The recovery of a more fluid, safe and correct execution of motor tasks such as gait and stair climbing are a prerequisite for the patients to become autonomous in the activities of daily living.

There is a relevant evidence on the efficacy of high dose therapies, intensive and repetitive task practice, and patient's active participation for a successful gait rehabilitation. In this context, the introduction of robotic technologies in gait rehabilitation of stroke patients has had a great interest (Morone et al., 2011). Robotic devices have several advantages: they require a smaller workforce, they allow more enduring and intensive treatment with multi-sensory stimuli, and they allow to assess objectively and quantitatively the patient's disability and its development (Mehrholtz et al., 2017).

Robot-Assisted Gait Training (RAGT) can be categorised, with respect to the technology and the physical interface between the subject and the robot (Pons et al., 2008), into end-effector and exoskeleton devices. End-effectors are robots in which patient's feet are placed on foot-plates, whose trajectories simulate the stance and swing phases during the gait training giving inputs of a correct walk pattern. On the other hand, the exoskeletons are outfitted with programmable drives or passive elements, which move the knees and hips during the various phases of gait (Hesse et al., 2010). In 2012, Mehrholz and Pohl published a systematic review and compared the effects of end-effector and exoskeleton devices for RAGT after stroke and they found significantly higher rates of independent walking in end-effector compared with exoskeleton-based training (Mehrholtz & Pohl, 2012). Such findings were recently confirmed by Bruni et al (Bruni et al., 2018).

Both exoskeleton and end-effector robots have been used for gait training in neurological disorders,

including stroke, spinal cord injury and multiple sclerosis, yielding good results in gait recovery (Kelley et al., 2013; Bonnyaud et al., 2014; Gandolfi et al., 2014; Cho et al., 2015; Li et al., 2015; Lonini et al., 2016; Sale et al., 2016; Goffredo et al., 2019).

In chronic stroke patients, the effects of RAGT compared to gait conventional rehabilitation were studied with encouraging preliminary results (Hornby et al., 2008; Dundar et al., 2014; Aprile et al., 2017).

In subacute stroke patients, few results obtained using robotic exoskeletons (Swinnen et al., 2014) or treadmill-base devices (Werner et al., 2002; Peurala et al., 2009; Tong et al., 2006; Taveggia et al., 2016;) are available. Furthermore, a small amount of studies employed gait analysis to quantitatively assess improvements in gait parameters after rehabilitation (robotic and conventional treatment) in subacute stroke patients (Mao et al., 2015).

To our knowledge, no studies compared conventional gait rehabilitation program with end-effector RAGT in subacute stroke patients by analysing the variations of gait kinematics beyond clinical multi prospective outcomes.

The aim of this pilot study was to evaluate the efficacy of end-effector RAGT in subacute stroke patients in terms of clinical outcomes and gait kinematics, comparing them with conventional gait rehabilitation program.

2. Materials and methods

This was a case-control pre-post pilot study on subacute stroke subjects, where RAGT with an end-effector device was compared to conventional gait rehabilitation program. The results presented in this study are a sub-set of data included in a study registered on Clinical Trials with the code NCTXXXXXXX.

2.1. Participants' recruitment

Inclusion criteria: first cerebral stroke; 2 weeks up to 6 months post the acute event (subacute patients); age between 18–80 years; ability to fit into the end-effector footplates; no significant limitation of joint range of motion; ability to tolerate upright standing for 60 seconds; ability to walk unassisted or with little assistance; ability to give written consent and comply with the study procedures.

Exclusion criteria: contractures of the hip, knee, or ankle joints that might limit the range of motion during gait; medical issue that precludes full weight bearing and ambulation (e.g. orthopaedic injuries, pain, severe osteoporosis, or severe spasticity); cognitive and/or communicative disability (e.g. due to brain injury); inability to understand the instructions required for the study; cardiac pathologies, anxiety or psychosis that might interfere with the use of the equipment or testing.

Written informed consent was obtained from each subject. Ethical approval of the treatment and of the evaluation protocol was granted by the Ethics Committee of the coordinator centre (date: 19/03/2013; code number: 15/13).

A total of 26 subacute stroke patients were recruited in two Italian rehabilitation centres from 01/2013 until now. The main characteristics of 26 enrolled subjects were: mean age 58.81 ± 11.38 years; 19 male, 7 female; 19 ischemic and 7 haemorrhagic stroke; and 14 with left hemiparesis and 12 with right hemiparesis. Time post the acute event ranged from 17 to 176 days (mean days 64.15 ± 42.55).

The patients were divided into two groups and conducted two different type of gait training: one group (N=14) was recruited by the coordinator centre and performed, in addition to conventional therapy, gait training using an end-effector robotic device for RAGT (Robotic Group, RG); and another group (N=12) was recruited by the second rehabilitation centre, and performed conventional gait rehabilitation program (Conventional Group, CG). The demographic and clinical characteristics at baseline of the CG and the RG are shown in Table 1.

2.2. Therapeutic interventions

The RG included patients who received RAGT by using an end-effector device (G-EO system; Reha Technology AG; Olten, Switzerland), 3 times a week, in 20 sessions. The end-effector robot is characterized by a Body Weight Support (BWS) and 2 footplates placed on a double crank and a rocker gear system, with 3 Degrees of Freedom (DoF) each, which allow the step length and height to be controlled. The trajectories of the footplates and the vertical and horizontal movements of the centre of

Table 1
Description of the CG and RG at T0. Characteristics of the sample and clinical outcomes at T0 (N=26)

A: Characteristics			
	CG	RG	p-value
	n (%) Mean \pm SD		
Subjects	12 (46.15)	14 (53.85)	
Gender. Male/Female	9 (75.00)/3 (25.00)	10 (71.43)/4 (28.57)	0.86
Age (years)	61.58 \pm 9.00	56.43 \pm 12.93	0.27
Time post the acute event (days)	86.58 \pm 52.84	44.92 \pm 16.02	0.06
Aetiology. Ischemic/Haemorrhagic	8 (66.66)/4 (33.34)	11 (78.57)/3 (21.43)	0.52
Lesion Side. Left/Right	9 (75.00)/3 (25.00)	5 (35.71)/9 (64.29)	0.06
B: Clinical Outcomes at T0			
	CG	RG	p-value
	Median [5th;95th percentiles]		
FMA	102.00 [68.65; 133.15]	110.50 [58.15; 124.00]	0.96
MI-LL	69.00 [38.45; 86.60]	58.00 [36.60; 79.50]	0.82
MRC-LL	21.50 [13.30; 28.90]	17.00 [11.95; 24.00]	0.10
MAS-LL	0.00 [0.00; 1.50]	0.50 [0.00; 4.00]	0.14
FAC	4.00 [1.00; 5.00]	3.00 [1.00; 4.00]	0.13
TIN-B	13.50 [3.75; 17.00]	9.00 [3.60; 16.00]	0.06
TIN-W	8.00 [3.10; 12.90]	5.00 [1.30; 8.35]	0.07
TCT	87.00 [43.60; 100.00]	74.00 [36.00; 100.00]	0.17
WHS	3.50 [1.55; 6.00]	4.00 [1.00; 5.00]	0.64
10MWT velocity - m/s	0.71 [0.25; 1.42]	0.60 [0.11; 1.17]	0.74
6MWT distance (m)	199.00 [86.50; 493.80]	155.00 [9.75; 252.00]	0.11
TUG time (s)	18.16 [7.91; 46.61]	17.20 [10.10; 48.35]	0.84

Abbreviations: CG – Conventional Group; RG – Robotic Group; T0 – before the treatment; FMA – Fugl-Meyer Assessment; MI-LL – Motricity Index affected Lower Limb; MRC-LL – Total Medical Research Council affected Lower Limb; MAS-LL – Total Modified Ashworth Scale affected Lower Limb; FAC – Functional Ambulatory Classification; TIN-B – Tinetti Scale Balance; TIN-W – Tinetti Scale Walking; TCT – Trunk Control Test; WHS – Walking Handicap Scale; 10MWT – Ten-Meter Walking Test; 6MWT – Six-Minute Walking Test; TUG – Timed Up and Go Test.



Fig. 1. A representative patient setup and RAGT.

mass were fully programmable, thus allowing the simulated floor walking to be simulated repetitively. During the training, the patients were asked to walk, at a varying speed, for 45 minutes, with a partial BWS. All the participants started with 30–40% of BWS and an initial speed of 1.5 km/h; thereafter, speed was increased to a maximum of between 2.2 and 2.5 km/h and the initial BWS was reduced to 15%. The therapist stood in front of the patient during the treatment session to provide any help if required. Over 45 minutes, the patient simulated a minimum of 300 steps (Hesse et al., 2012); patients could rest during the session, though they were required to walk continuously for a minimum of 5 minutes during each session. A representative patient setup and RAGT is shown in Fig. 1.

The CG included patients treated by means of a conventional gait rehabilitation program, 3 times a week, in 20 sessions. The treatment included: muscle strengthening exercises and stretching of the lower limb, and static and dynamic exercises for the recovery of balance in the supine and standing positions using assistive devices; training gait exercises with parallel bars or in open spaces performed both with and without assistive devices; training to climb up

and down stairs; exercises to improve proprioception in the supine, sitting and standing positions, using a proprioceptive footboard; exercises to improve trunk control.

In both groups, the gait training was combined with daily conventional therapy including: functional task practice, muscle strengthening, speech therapy, and occupational therapy.

2.3. Clinical evaluation

A clinical assessment based on the International Classification of Functioning, disability and health (ICF) was carried out at the beginning (T0) and at the end (T1) of the training period.

For the body function and structure ICF domain, the following clinical scales were used: Fugl-Meyer Assessment (FMA) scale; Motricity Index affected Ankle Dorsiflexion (MI-AD); Motricity Index affected Knee Extension (MI-KE); Motricity Index affected Hip Flexion (MI-HF); Motricity Index affected Lower Limb (MI-LL); Medical Research Council affected Hip flexion (MRC-HF); Medical Research Council affected Hip Extension (MRC-HE); Medical Research Council affected Knee Flexion (MRC-KF); Medical Research Council affected Knee Extension (MRC-KE); Medical Research Council affected Ankle Flexion (MRC-AF); Medical Research Council affected Ankle Extension (MRC-AE); Medical Research Council affected lower limb (MRC-LL); Modified Ashworth Scale affected Hip (MAS-H); Modified Ashworth Scale affected Knee (MAS-K); Modified Ashworth Scale affected Ankle (MAS-A); Modified Ashworth Scale affected Lower Limb (MAS-LL).

The following scales were used to measure activity ICF domain: Functional Ambulatory Classification (FAC); Tinetti Scale Balance (TIN-B); Tinetti Scale Walking (TIN-W); Trunk Control Test (TCT); Ten-Meter Walking Test (10MWT); Six-Minute Walking Test (6MWT); Timed Up and Go Test (TUG).

For the participation ICF domain, the Walking Handicap Scale (WHS) was used.

The primary outcome was the distance covered over a time of 6 minutes (6MWT).

2.4. Gait analysis

Biomechanical data were collected by using the 8-camera SMART-DX motion capture system (BTS Bioengineering, Milano, Italy) sampling at 200 Hz. The Davis marker set (Davis et al., 1991), which

includes 22 retro-reflective markers was adopted, and anthropometric data were collected for each subject (Winter, 2009). Each patient was asked to perform ten linear walking trials, barefoot and at a self-selected speed, straight ahead along a level surface that was approximately 6 meters long. Before formal measurements were started, practice sessions were performed to familiarize the participants with the procedure. We computed the average value of the parameters selected and the average pattern of the biomechanical gait variables across five trials for each patient. Owing to the asymmetric nature of the pathology, we analysed the affected and the unaffected sides separately. Three-dimensional marker trajectories were tracked using a frame-by-frame tracking system (Smart Tracker, BTS Bioengineering, Milan, Italy). Data were processed using 3D reconstruction software (SMART Analyzer, BTS, Milan, Italy).

In order to describe the characteristics of the gait, the following spatiotemporal parameters were analysed: step width (mm) - mediolateral distance between the two feet during double support; step length (mm) - longitudinal distance from one foot strike to the next one; stride length (mm); cadence (steps/min) - number of steps in a unit of time; mean velocity (m/s) - the mean velocity of progression for each limb; swing velocity (m/s) - the mean velocity of the swing phase for each limb; gait cycle (ms) - mean temporal duration of the gait cycle that begins with initial heel contact and ends with the subsequent heel contact of the same limb; stance time (as a % of the gait cycle) - % of the gait cycle that begins with initial contact and ends at toe off of the same limb; swing time (as a % of the gait cycle) - % of the gait cycle that begins with the toe off and ends at heel strike of the same limb; double support (as a % of the gait cycle) - % of the gait cycle feet are on the ground.

Moreover, to assess the lower limb joint kinematics were also calculated hip, knee, and ankle flexion/extension and the Range Of Motion (ROM) was defined for each joint on these graphs in the sagittal plane.

2.5. Statistical analysis

The within-group analysis was based on the application of the Wilcoxon Signed Rank test for each clinical and gait outcome registered and T0 and T1. The between-group differences were analysed by comparing the percentage increase of each outcome,

defined as:

$$\Delta S = \frac{S(T1) - S(T0)}{S(T0)} \quad (1)$$

where S is one of the clinical or gait outcome employed in the study (except for MAS and MRC), and $S(T0)$ and $(T1)$ are the S scores at T0 and T1 respectively. The between-group analysis of MAS and MRC scales was conducted by considering the differences of the scores, $S(T1)-S(T0)$, because the minimal value of these scales is 0. The Mann Whitney U test was applied to compare the percentage increase calculated for each group. Statistical analyses were performed with SPSS Statistics (IBM Corporation, Armonk, New York USA); significance was set at 0.05.

3. Results

No drop outs were recorded during the treatment in both groups, and all the subjects correctly completed the protocol (compliant subjects: N=26). Subjects of the RG tolerated the RAGT well and no adverse events were reported. The distribution of the subjects by demographic characteristics and main clinical scales at baseline did not show significant differences between the RG and the CG (Table 1).

The clinical outcomes are depicted in Table 2, which includes the results of the within-group and between-group analyses.

In the within-group analysis, the RG revealed statistically significant changes in all clinical scales except for the FMA and the MAS-A. The variations between T0 and T1 revealed an improvement in body function (MI, MRC), activities (FAC, TIN-B, TIN-W, TCT), and participation (WHS). The spasticity of the affected lower limb (MAS-LL) decreased at the end of the RAGT with a p -value <0.005, although the ankle did not change it significantly. The clinical outcomes that assessed the execution of motor tasks, revealed a significantly increase in the distance covered by RG during the 6MWT, whose median value at T0 was 155 m and at T1 was 289.50 m. The patients in RG obtained a significant increase in the velocity and in the time measured during the 10MWT (T0=0.60 m/s; T1=0.91 m/s; p -value=0.021) and TUG (T0=17.20 s; T1=13.00 s; p -value=0.003) respectively.

In the within-group analysis, the CG significantly improved all clinical scales except for the MI-HF, MRC-HE, MRC-AF, MRC-AE, and MAS.

Table 2

Clinical outcomes (median, 5th and 95th percentiles) obtained at T0 and T1, for both the CG and RG, together with the results of the statistical analysis. *p*-values are reported for the within-group analysis: T0_{score} vs T1_{score}, for the two groups separately. * (*p* < 0.05) and † (*p* < 0.0005) indicate a significant between-group difference calculated by comparing the percentage increase of each clinical outcome

	CG			<i>p</i> -value	RG		
	T0	T1	<i>p</i> -value		T0	T1	<i>p</i> -value
FMA	102.00 [68.65;133.15]	118.00 [90.95;135.35]	0.007	110.50 [58.15; 124.00]	116.00 [50.65;146.60]	0.069	
MI-AD	25.00 [14.00;25.00]	25.00 [16.00;33.00]	0.026	19.00 [17.25;25.00]	25.00 [19.00;33.00]	0.003	
MI-KE	25.00 [16.00;29.80]	25.00 [21.40;33.00]	0.027	19.00 [17.25;25.00]	25.00 [22.90;33.00]	0.002	
MI-HF	25.00 [19.00;33.00]	25.00 [25.00;33.00]	0.066	19.00 [5.85;25.00]	25.00 [5.85;27.80]	0.011	
MI-LL	69.00 [38.45;86.60]	77.50 [49.50;99.00]	0.003	58.00 [36.60;79.50]	76.00 [49.40;94.80]	0.001	
MRC-HF	4.00 [3.00;5.00]	4.00 [4.00;5.00]	0.046	3.00 [2.00;4.00]	4.00 [3.00;4.00]	0.003	
MRC-HE	4.00 [3.00;5.00]	4.00 [3.00;5.00]	0.083*	3.00 [2.00;4.00]	4.00 [3.00;4.00]	0.002*	
MRC-KF	4.00 [3.00;5.00]	4.00 [4.00;5.00]	0.025	3.00 [2.65;4.00]	4.00 [3.00;4.00]	0.005	
MRC-KE	4.00 [3.00;5.00]	4.00 [3.40;5.00]	0.025	3.00 [2.65;4.00]	4.00 [3.00;4.00]	0.002	
MRC-AF	4.00 [2.40;4.60]	4.00 [2.40;4.60]	0.157	2.00 [1.00;4.00]	3.00 [1.00;4.00]	0.014	
MRC-AE	4.00 [2.40;4.60]	4.00 [2.40;5.00]	0.083	3.00 [1.65;4.00]	4.00 [1.65;4.00]	0.007	
MRC-LL	21.50 [13.30;28.90]	23.00 [16.40;29.45]	0.008	17.00 [11.95;24.00]	23.00 [14.65;24.00]	0.002	
MAS-H	0.00 [0.00;0.00]	0.00 [0.00;0.00]	1.000	0.00 [0.00;1.35]	0.00 [0.00;1.00]	0.025	
MAS-K	0.00 [0.00;0.50]	0.00 [0.00;0.00]	0.317	0.00 [0.00;1.00]	0.00 [0.00;0.35]	0.046	
MAS-A	0.00 [0.00;1.00]	0.00 [0.00;0.50]	0.157	0.00 [0.00;2.00]	0.00 [0.00;1.00]	0.083	
MAS-LL	0.00 [0.00;1.50]	0.00 [0.00;0.50]	0.083	0.50 [0.00;4.00]	0.00 [0.00;1.70]	0.016	
FAC	4.00 [1.00;5.00]	4.50 [3.00;5.00]	0.024	3.00 [1.00;4.00]	4.00 [2.00;5.00]	0.002	
TIN-B	13.50 [3.75;17.00]	16.50 [8.65;17.45]	0.018	9.00 [3.60;16.00]	12.00 [5.95;17.35]	0.001	
TIN-W	8.00 [3.10;12.90]	11.00 [6.10;12.90]	0.011	5.00 [1.30;8.35]	9.50 [3.65;11.35]	0.001	
TCT	87.00 [43.60;100.00]	100.00 [55.60;100.00]	0.026*	74.00 [36.00;100.00]	100.00 [57.85;100.00]	0.002*	
WHS	3.50 [1.55;6.00]	4.50 [3.00;6.00]	0.008	4.00 [1.00;5.00]	5.00 [2.00;6.00]	0.004	
10 MWT velocity - m/s	0.71 [0.25;1.42]	0.77 [0.25;1.73]	0.021*	0.60 [0.11;1.17]	0.91 [0.17;1.31]	0.021*	
6 MWT distance - m	199.00 [86.50;493.80]	259.50 [91.45;525.20]	0.131†	155.00 [9.75;252.00]	289.50 [64.65;367.00]	0.001†	
TUG time - s	18.16 [7.91;46.61]	16.31 [6.52;41.68]	0.004†	17.20 [10.10;48.35]	13.00 [8.30;54.30]	0.003†	

Abbreviations: CG – Conventional Group; RG – Robotic Group; T0 – before the treatment; T1 – at the end of the treatment; FMA – Fugl-Meyer Assessment; MI-AD – Motricity Index affected Ankle Dorsiflexion; MI-KE – Motricity Index affected Knee Extension; MI-HF – Motricity Index affected Hip Flexion; MI-LL – Motricity Index affected Lower Limb; MRC-HF - Medical Research Council affected Hip Flexion; MRC-HE - Medical Research Council affected Hip Extension; MRC-KF - Medical Research Council affected Knee Flexion; MRC-KE - Medical Research Council affected Knee Extension; MRC-AF - Medical Research Council affected Ankle Flexion; MRC-AE - Medical Research Council affected Ankle Extension; MRC-LL – Medical Research Council affected Lower Limb; MAS-H - Modified Ashworth Scale affected Hip; MAS-K - Modified Ashworth Scale affected Knee; MAS-A - Modified Ashworth Scale affected Ankle; MAS-LL – Modified Ashworth Scale affected Lower Limb; FAC – Functional Ambulatory Classification; TIN-B – Tinetti Scale Balance; TIN-W – Tinetti Scale Walking; TCT – Trunk Control Test; WHS – Walking Handicap Scale; 10 MWT – Ten-Meter Walking Test; 6 MWT – Six-Minute Walking Test; TUG – Timed Up and Go Test.

Table 3

Gait outcomes (median, 5th and 95th percentiles) obtained from the instrumental gait analysis at T0 and T1, from both the CG and RG, together with the results of the statistical analysis. *p*-values are reported for the within-group analysis: T0_{score} vs T1_{score}, for the two groups separately. No significant between-group differences were obtained by comparing the percentage increase of each clinical outcome

	CG			<i>p</i> -value	RG			<i>p</i> -value
	T0	T1			T0	T1		
Step width (mm)	175.00 [140.00;214.50]	175.00 [150.00;230.00]	0.175	160.00 [101.20;236.00]	164.00 [99.50;242.80]	0.272		
Step length AS (mm)	345.00 [165.00;563.50]	320.00 [142.00;581.50]	0.878	382.00 [196.20;477.40]	424.00 [244.20;537.05]	0.015		
Step length US (mm)	300.00 [181.00;543.50]	345.00 [188.50;549.00]	0.082	380.00 [195.40;524.40]	398.00 [213.00;541.65]	0.422		
Gait cycle length AS (mm)	700.00 [372.50;1213.50]	710.00 [306.50;1240.50]	0.331	688.00 [504.00;992.00]	793.50 [530.80;1050.85]	0.007		
Gait cycle length US (mm)	725.00 [380.50;1208.00]	700.00 [305.50;1241.50]	0.563	734.00 [513.00;980.60]	790.00 [526.25;1026.10]	0.013		
Cadence (step/min)	83.68 [53.27;101.58]	86.53 [58.79;103.08]	0.182	81.00 [55.60;98.60]	83.50 [58.65;106.75]	0.011		
Mean velocity AS (m/s)	0.44 [0.19;0.92]	0.55 [0.15;0.95]	0.230	0.38 [0.31;0.75]	0.49 [0.29;0.86]	0.013		
Mean velocity US (m/s)	0.46 [0.20;0.93]	0.54 [0.15;0.95]	0.247	0.37 [0.31;0.79]	0.52 [0.28;0.86]	0.016		
Swing velocity AS (m/s)	1.09 [0.80;2.01]	1.26 [0.78;2.07]	0.146	1.18 [0.92;1.76]	1.38 [0.68;2.07]	0.028		
Swing velocity US (m/s)	1.46 [0.79;2.20]	1.60 [0.66;2.36]	0.209	1.47 [0.97;2.09]	1.42 [1.14;2.08]	0.477		
Gait cycle time AS (s)	1.43 [1.18;2.43]	1.39 [1.16;2.52]	0.255	1.49 [1.25;2.16]	1.45 [1.12;1.97]	0.009		
Gait cycle time US (s)	1.45 [1.18;2.55]	1.39 [1.16;2.26]	0.182	1.49 [1.18;2.14]	1.42 [1.12;2.10]	0.030		
Stance time AS (%)	64.85 [59.00;81.60]	61.60 [57.54;85.08]	0.209	63.00 [51.60;68.40]	61.00 [54.00;68.35]	0.937		
Stance time US (%)	72.35 [59.25;77.75]	71.20 [63.06;79.94]	0.583	66.00 [60.20;79.40]	64.50 [58.30;76.70]	0.050		
Swing time AS (%)	35.50 [18.40;41.18]	36.65 [14.93;41.59]	0.790	37.00 [31.60;50.03]	38.00 [31.65;46.00]	0.813		
Swing time US (%)	26.35 [21.94;33.72]	28.80 [20.07;36.68]	0.084	34.00 [20.60;39.80]	35.50 [23.30;41.70]	0.054		
Double support AS (%)	19.95 [11.99;27.62]	15.35 [9.66;33.65]	0.209	16.00 [12.00;45.20]	14.50 [10.65;26.35]	0.065		
Double support US (%)	18.15 [11.90;32.37]	16.75 [11.41;30.87]	0.722	16.00 [12.00;48.00]	14.50 [12.00;28.95]	0.271		
Hip ROM AS (deg)	34.10 [18.97;46.35]	34.90 [18.86;47.43]	0.969	40.00 [27.09;49.16]	41.95 [29.77;63.95]	0.093		
Hip ROM US (deg)	43.15 [30.18;50.91]	42.60 [29.92;51.11]	1.000	39.00 [31.93;45.05]	39.54 [29.64;45.61]	0.508		
Knee ROM AS (deg)	40.75 [19.75;60.61]	39.75 [22.69;64.39]	0.610	46.06 [27.10;54.87]	52.53 [32.27;63.58]	0.017		
Knee ROM US (deg)	54.05 [39.24;72.65]	54.65 [34.61;72.20]	0.689	52.70 [42.07;55.90]	52.25 [40.67;61.15]	0.374		
Ankle ROM AS (deg)	15.15 [12.09;24.46]	14.55 [11.88;25.38]	0.695	19.60 [15.19;30.50]	20.73 [11.80;26.20]	0.285		
Ankle ROM US (deg)	21.85 [14.55;33.03]	25.65 [14.34;33.76]	0.272	22.41 [16.10;28.50]	22.00 [12.81;36.69]	0.878		

Abbreviations: CG - Conventional Group; RG - Robotic Group; T0 - before the treatment; T1 - at the end of the treatment; AS – Affected Side; US – Unaffected Side.

340 Despite the MRC-HE, MRC-AF, MRC-AE did not
341 change significantly, the total muscular strength of the
342 affected lower limb (MRC-LL) revealed a significant
343 increase at T1. The spasticity showed no statisti-
344 cally significant changes in any of the considered
345 joints (MAS-H, MAS-K, MAS-A). The variations
346 between T0 and T1 revealed significant improve-
347 ments in the Motricity index of the affected ankle
348 dorsiflexion (MI-AD), knee extension (MI-KE), and
349 in the total score of the affected lower limb (MI-
350 LL). Positive significant variations between T0 and
351 T1 were found in the activity (FAC, TIN-B, TIN-W,
352 TCT) and participation (WHS) domains. The per-
353 formances during the 10 MWT and TUG revealed a
354 significant improvement at the end of the treatment.
355 However, the distance covered during the 6 MWT did
356 not increase significantly.

357 In the between-group analysis of the clinical out-
358 comes, statistically significant differences between
359 RG and CG were found in MRC-HE, TCT, 10 MWT,
360 6 MWT, and TUG. In particular, the RG revealed an
361 increase of muscular strength in hip extension, while
362 such outcome did not change in the CG. Moreover,
363 RG' gain in performances during 10 MWT, 6 MWT
364 and TUG tasks was higher than CG.

365 The gait outcomes are illustrated in Table 3, which
366 includes the results of the within-group analysis. The
367 CG showed no statistical significant changes in any of
368 the gait parameters. The RG significantly increased
369 the step length of the affected side, the length and time
370 of the gait cycles, the cadence, the mean velocities,
371 the swing velocity of the affected side, the stance time
372 of the unaffected side, and the ROM of the affected
373 knee. The between-group analysis did not reveal a
374 statistical significant difference between CG and RG
375 in gait outcomes.

376 4. Discussion

377 Literature on stroke rehabilitation suggests high-
378 dose therapy, intensive and repetitive task oriented
379 practice as strategies for successful active motor
380 relearning of ambulation (Nichols-Larsen et al.,
381 2005). Such features are typical of RAGT. Pub-
382 lished studies assessing the efficacy of RAGT in
383 stroke rehabilitation found that RAGT, when com-
384 bined with conventional therapy, improves functional
385 ambulation outcomes (Freivogel et al., 2008; Hesse
386 et al., 2012; Aprile et al., 2017). Moreover, subjects
387 who received RAGT were more likely to achieve
388 independent walking than their peers who received

389 conventional therapy only (Mehrolz et al., 2012;
390 2013; 2017). Systematic reviews have not found
391 any difference in gait speed and endurance when
392 RAGT was administered with the same intensity
393 and duration than conventional one (Mehrolz et al.,
394 2017; Bruni et al., 2018). Comparisons between
395 end-effector and exoskeleton RAGT have reported
396 significantly higher rates of independent walking
397 in patients who conducted RAGT with end-effector
398 devices (Mehrolz & Pohl, 2012). However, litera-
399 ture on the effects of RAGT in terms of variation of
400 gait parameters is rather limited (Mao et al., 2015;
401 Aprile et al., 2017; Esquenazi et al., 2017). In our
402 previous study on chronic stroke patients, the RAGT
403 increased the gait endurance and decreased spasticity
404 in the lower limb, compared with traditional therapy
405 (Aprile et al., 2017).

406 The aim of this pilot study was to evaluate the
407 efficacy of end-effector RAGT in subacute stroke
408 patients in terms of clinical and gait outcomes,
409 comparing them with their peers who conducted a
410 conventional gait rehabilitation program.

411 We recruited 26 subacute stroke patients: all sub-
412 jects tolerated the gait training well, and nobody
413 dropped out. The RG perceived the RAGT posi-
414 tively and considered the treatment comfortable and
415 useful.

416 The primary outcome of the study was the walk-
417 ing endurance measured with the 6MWT. In RG, the
418 distance travelled in 6-minute time increased of a
419 mean value of 106 m at the end of RAGT: this pre-
420 post difference is more than double of the Minimal
421 Clinically Important Difference (MCID) for subacute
422 stroke patients of 50 m (Holden et al., 1984). Con-
423 versely, in CG, the distance travelled in 6-minute time
424 increased of a mean value of 20 m at the end of the
425 therapy and such outcome is lower than the MCID.
426 Moreover, the pre-post values of 6MWT were sig-
427 nificantly different in EG and not significant in CG.
428 Such findings are consistent with studies on sub-
429 acute stroke subjects (Tong et al., 2006; Peurala et
430 al., 2009; Taveggia et al., 2016) and on the chronic
431 ones (Aprile et al., 2017) who conducted RAGT with
432 similar devices.

433 For the body function and structure ICF domain,
434 the FMA showed a positive increase in both groups,
435 although it was significant in the CG that had a
436 lower value at T0 than RG. The total and partial
437 scores of MI significantly improved at T1, except
438 for the MI ad hip level in the CG. Literature on
439 RAGT confirms these results: at the end of RAGT
440 patients restored a complete active range of motion

441 against gravity at ankle, knee and hip level (Chen
442 et al., 2013). Such findings are different from the
443 ones obtained on chronic stroke subjects, who did
444 not achieve significant changes in the MI (Aprile
445 et al., 2017). The total score representing the muscu-
446 lar strength of the affected lower limb (MRC-LL)
447 significantly improved in both groups at the end of
448 the gait training: in RG there was an improvement in
449 the muscular strength of all joints (MRC-HF, MRC-
450 HE, MRC-KF, MRC-KE, MRC-AF, MRC-AE); in
451 CG there was a statistically significant improvement
452 only in MRC-HF, MRC-KF and MRC-KE. In particu-
453 lar, the between-group analysis revealed a significant
454 difference between the CG and the RG in the mus-
455 cular strength during hip extension (MRC-HE). The
456 spasticity did not change in the CG, while the sub-
457 jects belonging to the RG experienced a significant
458 decrease of their spasticity at hip and knee level. Such
459 findings are partially in accordance with literature
460 on the effects of RAGT with treadmill-based devices
461 (Mehrholtz & Pohl, 2012; Mehrholtz et al. 2013; 2017;
462 Bruni et al., 2018).

463 For the activity ICF domain, all subjects signifi-
464 cantly increased the degree of independence and
465 the motor skills necessary for functional ambulation
466 (FAC scores significantly increased in both groups).
467 Such finding is in line with the systematic reviews
468 on the topic (Mehrholtz & Pohl, 2012; Bruni et al.,
469 2018) and it is particularly important considering
470 that the FAC outcome predicts independent commu-
471 nity ambulation 6 months after stroke (Mehrholtz et
472 al., 2007). The trunk control (TCT scores) positively
473 increased at the end of the treatment in all patients.
474 However, the RT showed a higher percentage increase
475 of TCT scores (21.7%) than the CG (9.6%) and such
476 between-group difference was significant ($p < 0.05$).
477 Similarly, the performance related to the 10 MWT the
478 TUG positively changed in both group, with a higher
479 significant increment in the RG. Such outcomes are in
480 accordance with the ones by Taveggia et al. (Taveggia
481 et al., 2016).

482 For the participation ICF domain, a significant
483 improvement in the WHS was observed in both
484 groups, thus indicating an increased walking ability
485 at home and in the community. At T0, the WHS was
486 evaluated by considering the patients' participation
487 in the common areas of the hospitals. These results
488 are similar to the ones obtained in chronic stroke
489 patients who participated to a multicentric clinical
490 study (Mazzoleni et al., 2017).

491 Therefore, the obtained clinical outcomes suggest
492 that RAGT produce encouraging changes in body

493 function, activity and participation in subacute stroke
494 patients. Moreover, our findings are in agreement
495 with a previous review by Bruni et al (Bruni et al.,
496 2018), who suggested that earlier RAGT produces
497 higher recovery rates.

498 The gait performance data obtained from the
499 biomechanical analysis of ambulation are consistent
500 with findings reported in persons with hemiplegic
501 pattern (Boudarham et al., 2016). The gait outcomes
502 showed significant pre-post changes (improved val-
503 ues) in the RG (step length of the affected side, the
504 length and time of the gait cycles, the cadence, the
505 mean velocities, the swing velocity of the affected
506 side, the stance time of the unaffected side, and
507 the ROM of the affected knee) after RAGT. On
508 the contrary, the CG did not reveal any signifi-
509 cant variation in gait parameters at the end of the
510 therapy. These findings are in accordance with the
511 ones obtained by Mao et al. (Mao et al., 2015)
512 on the biomechanical effects of body weight sup-
513 port treadmill training on gait in subacute stroke
514 subjects.

515 The gait cycle length of both sides significantly
516 increased in RG (AS: T0 = 688.0 mm T1 = 793.5 mm;
517 US: T0 = 734.0 mm T1 = 790.0 mm): this is particu-
518 larly relevant if we consider that the main gait cycle
519 length for healthy adults is of about 1000 mm.

520 The spatiotemporal parameters that describe the
521 speed in performing the deambulator motor task evi-
522 denced increases at the end of the gait training in both
523 groups, although only in the RG such variation was
524 significant. At T0, the CG registered mean velocities
525 of both sides over 0.4 m/s respectively, while the RG
526 showed values under 0.4 m/s. Since Perry et al. (Perry
527 et al., 1995) classified subjects with self-selected gait
528 velocities <0.4 m/s as "household ambulators", and
529 with values between 0.4 and 0.8 m/s as "limited com-
530 munity ambulators", our subjects could be classified
531 accordingly. Interestingly, although the participants
532 of the RG had a lower walking speed at T0, they
533 significantly increased it, and moved forward to the
534 next ambulatory level, i.e. "limited community ambu-
535 lators" at the end of RAGT.

536 As regards the gait kinematics, significant changes
537 were produced by robot training at knee level of the
538 affected side, with a mean percentage increase of
539 3.8%. The other ROM calculated for each joint did
540 not reveal any significant pre-post difference in both
541 groups.

542 The gait outcomes did not demonstrate any signif-
543 icant differences between groups. A similar finding
544 was obtained by Esquenazi (Esquenazi et al., 2017),

545 where a comparison of end-effector, exoskeleton and
546 treadmill based gait training was presented.

547 Training based on the robotic device thus offers
548 the patient a more intensive, repetitive and automatic
549 form of exercise that more closely reflects the charac-
550 teristics of the physiological deambulation and their
551 effects are more incisive in subacute than in chronic
552 stroke patients.

553 These results suggest that with an intensive and
554 appropriate RAGT, subacute stroke patients can
555 increase the walking performance and the quality of
556 their deambulation.

557 To our knowledge, our study is the first attempt
558 to compare robotic versus conventional gait train-
559 ing in subacute stroke patients that considers both
560 clinical and gait outcomes (spatiotemporal param-
561 eters and gait kinematics). The obtained findings,
562 even if are preliminary data, are interesting for clin-
563 ical practice because they suggested that this type
564 of RAGT could significantly improved gait pat-
565 tern. With the conventional gait training, on the
566 other hand, no gait outcomes changed at the end of
567 the therapy.

568 The simplicity of the treatment, the lack of
569 side effects, and the obtained results suggest that
570 end-effector RAGT seems to be effective for gait
571 rehabilitation in subacute stroke subjects.

572 A limitation of this study was the small number of
573 enrolled subjects and the case-control nature of the
574 study. However, this work represents one of the first
575 attempts to describe the clinical and biomechanical
576 effects provided by end-effector RAGT in subacute
577 stroke subjects and demonstrates that future RCT
578 studies with a larger population are recommended.
579 Another limitation is the lack of a long-term follow-
580 up, to detect the time after which the recovery of
581 walking can be considered completed and gait strate-
582 gies not changeable.

583 However, our preliminary data highlights that
584 both types of gait rehabilitation yielded significantly
585 positive results in subacute stroke patients: both con-
586 ventional and robot-assisted gait training produced
587 promising effects on clinical outcomes, but only RG
588 showed significant improvement in the gait param-
589 eters. Comparing the two groups, clinical outcomes
590 improved more in the RG than the CG at the end
591 of the therapy. These results are obtained probably
592 because the end-effector device offers a more inten-
593 sive, controlled, and physiological gait training from
594 the beginning of the rehabilitation program without
595 to wait that the patient reaches a trunk control like
596 happen during conventional treatment.

597 5. Conclusions

598 This study showed that end-effector robotic-
599 assisted gait training may lead improvements in
600 clinical and gait outcomes in subacute stroke patients.
601 The comparison with conventional gait training
602 depicts that the robotic group improved more their
603 functional and motor status. The obtained results sug-
604 gest future research for optimizing and personalising
605 the robotic treatment.

606 Conflict of interest

607 The manuscript has not been submitted to other
608 journal for simultaneous consideration.

609 The manuscript has not been published previously.
610 No data have been fabricated or manipulated (includ-
611 ing images). No data, text, or theories by others are
612 presented as if they were the author's own.

613 Ethics

614 **Disclosure of potential conflicts of interest:** The
615 authors certify that there is no conflict of interest
616 with any financial organization regarding the material
617 discussed in the manuscript.

618 **Informed consent:** Experiments were conducted
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628 Availability of data and material

629 The authors are available to send data to those who
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